



## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: July 1, 2004

Name: Deanna L. Hasler

Signature:

*Deanna L. Hasler*

BRINKS  
HOFER  
GILSON  
& LIONE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Robert Charatan

Appln. No.: 10/813,294

Filed: March 30, 2004

For: POLISHING PAD CONDITIONING  
SYSTEM

Examiner: Not Known

Art Unit: 3723

Attorney Docket No: 7103/410 (P1238)

MAIL STOP AMENDMENT  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached is/are:

- ☒ Information Disclosure Statement, PTO Form 1449  
☒ Return Receipt Postcard

## Fee calculation:

- ☒ No additional fee is required.  
☐ Small Entity.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+\$290=	
					Total	\$		Total	\$

## Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ to cover the above-identified fee(s) is enclosed.  
☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).  
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,


*Sanders N. Hillis*  
Sanders N. Hillis (Reg. No. 45,712)

Date

*July 1, 2004*

Certificate Under 37 CFR 1.8

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Deanna L. Hasler



Our Case No.: 7103/410 (P1238)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert Charatan

Serial No.: 10/813,294

Filing Date: March 30, 2004

For: POLISHING PAD CONDITIONING  
SYSTEM

Examiner: Not Yet Known

Group Art Unit No.: 3723

INFORMATION DISCLOSURE STATEMENT

MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record.

The references now cited are the following:

No.	Date	Name
US 5,857,899	January 12, 1999	Volodarsky et al.
US 5,913,714	June 22, 1999	Volodarsky et al.
US 6,086,460	July 11, 2000	Labunsky et al.
US 6,306,019 B1	October 23, 2001	Finkelman
US 6,328,637 B1	December 11, 2001	Labunsky et al.
US 6,336,845 B1	January 8, 2002	Engdahl et al.
US 6,361,414 B1	March 26, 2002	Ravkin et al.
US 6,554,688 B2	April 29, 2003	Lacy

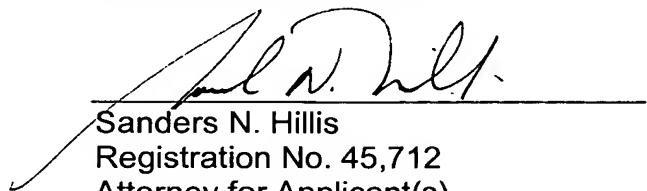
No.	Date	Name
US 6,626,743 B1	September 30, 2003	Boyd
US 6,645,046 B1	November 11, 2003	Vogtmann et al.

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



Sanders N. Hillis  
Registration No. 45,712  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
One Indiana Square, Suite 1600  
Indianapolis, IN 46204  
(317) 636-0886



FORM PTO-14	SERIAL NO. 10/813,294	CASE NO. 7103/410 (P1238)
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE March 30, 2004	GROUP ART UNIT 3723
(use several sheets if necessary)		APPLICANT(S): Robert Charatan

#### REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	5,857,899	01/12/1999	Volodarsky et al.	451/72	04/04/1997
	A2	5,913,714	06/22/1999	Volodarsky et al.	451/41	09/15/1998
	A3	6,086,460	07/11/2000	Labunsky et al.	451/56	11/09/1998
	A4	6,306,019 B1	10/23/2001	Finkelman	451/56	12/30/1999
	A5	6,328,637 B1	12/11/2001	Labunsky et al.	451/56	07/10/2000
	A6	6,336,845 B1	01/08/2002	Engdahl et al.	451/41	11/12/1997
	A7	6,361,414 B1	03/26/2002	Ravkin et al.	451/56	06/30/2000
	A8	6,554,688 B2	04/29/2003	Lacy	451/56	01/04/2001
	A9	6,626,743 B1	09/30/2003	Boyd	451/56	03/31/2000
	A10	6,645,046 B1	11/11/2003	Vogtmann et al.	451/8	06/30/2000
	A11					
	A12					
	A13					

#### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A14					
	A15					
	A16					
	A17					
	A18					

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.</small>	
	A19	
	A20	
	A21	
	A22	
	A23	
	A24	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.